CANCER

IL-6 blockade reverses bone marrow failure induced by human acute myeloid leukemia

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Most patients with acute myeloid leukemia (AML) die from complications arising from cytopenias resulting from bone marrow (BM) failure. The common presumption among physicians is that AML-induced BM failure is primarily due to overcrowding, yet BM failure is observed even with low burden of disease. Here, we use large clinical datasets to show the lack of correlation between BM blast burden and degree of cytopenias at the time of diagnosis. We develop a splenectomized xenograft model to demonstrate that transplantation of human primary AML into immunocompromised mice recapitulates the human disease course by induction of BM failure via depletion of mouse hematopoietic stem and progenitor populations. Using unbiased approaches, we show that AML-elaborated IL-6 acts to block erythroid differentiation at the proerythroblast stage and that blocking antibodies against human IL-6 can improve AML-induced anemia and prolong overall survival, suggesting a potential therapeutic approach.

INTRODUCTION

Acute myeloid leukemia (AML) is an aggressive blood cancer caused by uncontrolled proliferation and accumulation of abnormal myeloid progenitors in the bone marrow (BM) and/or peripheral blood (PB) (1). Progressive BM failure is a hallmark of the AML disease course, resulting in decreased production of white blood cells, red blood cells (RBCs), and/or platelets that lead to high rates of morbidity and mortality (2). The vast majority of patients with AML eventually become transfusion dependent (3). Although RBC transfusions can help alleviate symptoms of severe fatigue, shortness of breath, and increased cardiac demand, the need for frequent blood draws and long periods of time spent in transfusion centers decrease quality of life. Therefore, identification of targetable factor(s) or pathway(s) that mediate progressive BM failure in AML has the potential for major clinical impact.

Normal hematopoiesis is restored in patients with AML who achieve remission, suggesting the presence of a reversible factor or process that drives AML-associated BM failure. Normal blood production results from differentiation of hematopoietic cells from hematopoietic stem and progenitor cells (HSPCs) that reside in the BM (4). This occurs via a highly ordered, multistep process under tight control of a complex network of intrinsic factors and microenvironmental cues collectively termed the BM niche. Niche factors known to regulate normal hematopoiesis include integrins, cytokines, chemokines, and constituents of the extracellular matrix (5).

Conventional clinical teaching holds that AML-associated BM failure results from the physical crowding of the BM niche by leukemic blasts. However, many patients with AML with low leukemic burden (20 to 30%) and incomplete involvement of the BM compartment exhibit clinical signs of BM failure. These observations suggest that additional mechanisms must be involved in AML-induced BM failure, particularly in patients with incomplete marrow involvement.

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A number of studies have investigated the effects of AML on normal progenitors and the niche, implicating production of AML-secreted factors as potential mechanisms driving niche dysfunction (6–8). AML cells have been shown to scavenge critical niche factors, such as thrombopoietin (TPO), and cause differentiation arrest of residual HSCs (9). AML blasts can produce inflammatory cytokines including granulocyte-macrophage colony-stimulating factor (GM-CSF), interleukin-1 β (IL-1 β), tumor necrosis factor– α (TNF α), IL-6, C-X-C motif chemokine 12 (CXCL2), and C-C motif chemokine ligand 3 (CCL3), which decrease colony-forming potential of normal CD34⁺ cells and induce endosteal endothelial remodeling and progenitor depletion (10–15).

Studying the mechanisms behind AML-induced BM failure has been hindered by the lack of a model that recapitulates the human disease course. Here, we describe a primary human AML xenograft model that accurately recapitulates AML-induced BM failure resulting in shortened overall survival. BM failure was observed with 10 to 70% BM leukemic involvement, indicating that overcrowding could not be the sole mechanism. Using this model, we observed profound depletion of HSPCs, with a particular block in erythroid differentiation, resulting in progressive anemia. Proteomic and transcriptomic studies determined that this effect was mediated by paracrine secretion of IL-6, whose effects could be reversed with a clinically approved anti–IL-6 blocking antibody that improved overall survival in the xenograft model.

RESULTS

The severity of cytopenias in patients with AML is independent of disease burden

A common presumption among physicians is that AML-induced BM failure results from overcrowding of the BM space by AML blasts, suggesting that spatial crowding plays a key role in suppressing normal hematopoiesis. Although this may be true in cases where the BM is effaced with leukemic blasts, BM failure is observed across the spectrum of disease burden down to 20% marrow involvement (2). We hypothesized that if spatial crowding is the primary mechanism causing AML-induced BM failure, then the degree of marrow crowding should inversely correlate with the severity of cytopenias.

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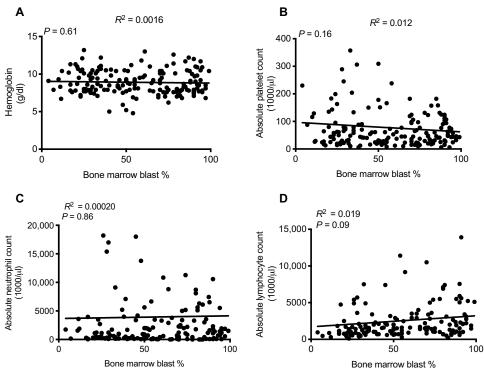


Fig. 1. The severity of cytopenias in patients with AML is independent of disease burden. Correlation of (**A**) hemoglobin ($R^2 = 0.0016$, P = 0.61), (**B**) platelet count ($R^2 = 0.012$, P = 0.16), (**C**) absolute neutrophil count ($R^2 = 0.0020$, P = 0.86), and (**D**) absolute lymphocyte count ($R^2 = 0.019$, P = 0.09) with BM blast percentage at the time of diagnosis. R^2 , Pearson correlation of determination.

To test this, we examined clinical data from a cohort of 293 patients diagnosed with AML at Stanford between 2011 and 2014 (table S1). Using BM blast percentage as an estimate of disease burden, no correlation was found between the BM blast percentage at diagnosis and the hemoglobin concentration, platelet count, absolute neutrophil count, or absolute lymphocyte count (Fig. 1, A to D). Additional analysis of a publicly available dataset of more than 1300 patients also demonstrated that PB blast percentage and mutation burden, as indicated by variant allele frequencies (VAFs), did not correlate with the severity of cytopenias (table S2 and figs. S1 and S2) (*16*). These results indicate that AML leukemic burden and crowding of the BM space are not the primary drivers of AML-induced BM failure.

Splenectomized human AML xenograft mice die of BM failure

Human AML can be studied in vivo through xenotransplantation into immunodeficient mice, particularly the nonobese diabetic (NOD)/ severe combined immunodeficient (SCID)/IL2R-gamma null strain (NSG) (17, 18). We generated human AML xenografts in NSG mice conditioned with sublethal irradiation followed by intravenous injection of three patient-derived AML samples (NSG-PDX mice). These mice were followed for the development of cytopenias by serial assessment of complete blood counts (CBCs) and AML engraftment by femoral aspirates by flow cytometry (Fig. 2A and fig. S3). NSG-PDX mice exhibited only mild cytopenias and no signs of BM failure at 12 weeks after transplantation, even with BM leukemic engraftment greater than 60% (Fig. 2, B and C, and fig. S4, A and B). Necropsy analysis of these mice indicated that NSG-PDX mice consistently developed splenomegaly compared with irradiation-only control NSG mice, often as early as 6 to 8 weeks after transplantation (Fig. 2D). Histological sections of the spleen from NSG-PDX mice showed a marked increase in the number of megakaryocytes and expansion of the red pulp, indicating development of extensive extramedullary hematopoiesis (EMH) (Fig. 2E) (19). Thus, we hypothesized that splenic EMH compensates for AML-induced suppression of BM hematopoiesis in NSG-PDX models, thereby preventing development of cytopenias and early mortality as seen in patients with AML.

To test this hypothesis, we developed a xenotransplantation approach in which NSG mice underwent surgical splenectomy or a sham procedure with all steps of the surgery except for removal of the spleen. After recovery from the procedure, both sham-operated (NSG^{sham}) and splenectomized (NSG^{spln-}) mice were sublethally irradiated and transplanted with primary human AML blasts by intravenous injection (NSG^{sham}-PDX and NSG^{spln-}-PDX). A separate group of sublethally irradiated NSG^{spln-} mice were transplanted with normal human cord blood-derived CD34⁺ progenitors (NSG^{spln-}-CB-CD34⁺) as additional controls (Fig. 2F and fig. S5) (20). NSG^{sham}-PDX mice generated with 10 well-characterized primary

AML samples (table S3) exhibited normal concentrations of hemoglobin at 8 weeks after transplantation (fig. S6A). In contrast, NSG^{spln-}-PDX mice engrafted with the same primary AML samples consistently developed pancytopenia (Fig. 2G and fig. S6, B and C). NSG^{spln-}-CB-CD34⁺ mice had normal CBCs (Fig. 2G and fig. S6, B and C), indicating an AML-specific effect. Similar to patients with AML, the degree of anemia did not correlate with disease burden under conditions of similar engraftment (Fig. 2, H and I). NSG^{spln-}-PDX mice exhibited shortened survival compared with NSG^{sham}-PDX mice engrafted with the same AML sample (Fig. 2J and fig. S7). We investigated the possibility that early mortality of NSG^{spln-}-PDX mice is due to increased leukemic burden but found similar amounts of human AML in the BM, PB, and/or other vital organs (fig. S8, A to C). These findings demonstrate that NSG^{spln-}-PDX mice develop pancytopenia due to AML-induced BM failure, resulting in early mortality that recapitulates human disease.

Normal mouse hematopoietic progenitor populations are depleted in the presence of human AML

AML-induced BM failure can occur due to hematopoietic progenitor suppression caused by increased apoptosis/cell death, differentiation arrest, displacement from the BM niche, or any combination of these factors. To differentiate among these possibilities, we enumerated HSPCs from NSG^{spln-}-PDX mice by flow cytometry using established markers (Fig. 3A and fig. S9) (*21*). All progenitor populations were reduced in NSG^{spln-}-PDX mice compared with NSG^{spln-}-CB-CD34⁺ mice (Fig. 3, B to F). The reduction in HSPCs did not correlate with BM engraftment at week 8 after transplantation (Fig. 3, G to K). The rates of apoptosis/cell death in HSPCs were similar between

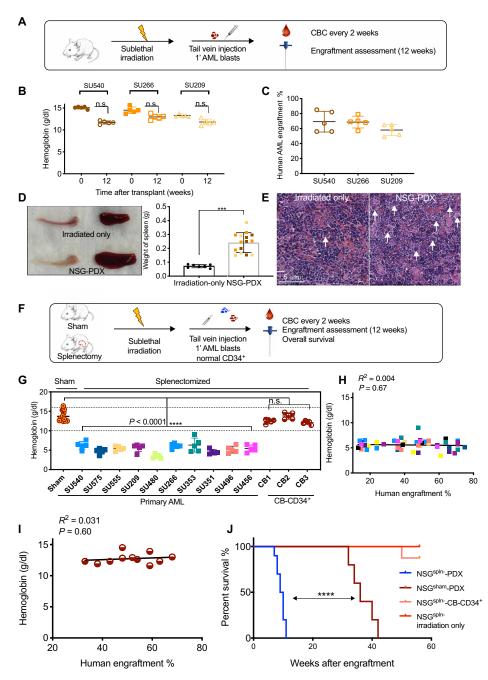


Fig. 2. Splenectomized human AML xenograft mice die of BM failure. (**A**) Schematic of experimental procedure. (**B**) Hemoglobin results at 12 weeks are shown. (**C**) Human AML engraftment at 12 weeks. (**D**) Representative spleens and tibiae from NSG-PDX and irradiated-only mice are shown on the left. NSG-PDX mice (n = 15) demonstrated increased splenic weight (n = 7) (P < 0.0001, unpaired t test). (**E**) Representative hematoxylin and eosin (H&E)–stained spleen sections from NSG-PDX mice demonstrating the presence of increased megakaryocytes (white arrows). Red pulp expansion (red areas) is seen in splenectomized NSG-PDX mice. (**F**) Schematic of experimental procedure. (**G**) Eight weeks after transplantation, hemoglobin was determined in NSG^{spln-}-PDX mice (10 primary AML samples, n = 50 total with five mice in each group, P < 0.0001, one-way ANOVA) compared to NSG^{sham}-PDX (n = 32, 10 independent AML samples) and NSG^{spln-}-CB-CD34⁺ mice (n = 15, 3 independent CB). (**H**) Correlation of hemoglobin and human AML BM engraftment in NSG^{spln-}-PDX mice color coded to reflect individual AML samples as reported in (D) (n = 46, $R^2 = 0.02$ Pearson correlation determination, P = 0.42). (**I**) Correlation of hemoglobin and BM engraftment in NSG^{spln-}-CB-CD34⁺ mice (n = 11, $R^2 = 0.031$, P = 0.60). (**J**) Kaplan-Meier survival curve indicating overall survival of NSG^{spln-}-SU540 (n = 7; median survival, 36 weeks), NSG^{spln-}-SU540 (n = 7; median survival, 9.5 weeks), and NSG^{spln-}-CB-CD34⁺ (n = 5, median survival not reached) engrafted mice. NSG^{spln-}-PDX mice have shortened overall survival compared with NSG^{sham}-PDX (***** P < 0.0001). n.s., not significant.

NSG^{spln-}-PDX and NSG^{spln-}-CB-CD34⁺ mice (fig. S10A). In addition, HSPCs were rarely detected in the peripheral circulation of NSG^{spln-}-PDX mice, suggesting that displacement of progenitors from the BM niche was not a major contributing factor (fig. S10B). Consistent with EMH compensating for BM failure, HSPCs were similarly depleted in the BM of NSG^{sham}-PDX mice (fig. S11).

We further examined erythroid progenitors and found similar numbers of proerythroblasts between NSG^{spln-}-PDX and NSG^{spln-}-CB-CD34⁺mice (Fig. 4A). Contrastingly, all other erythroid progenitors were reduced in NSG^{spln-}-PDX mice (Fig. 4, B to E). As with anemia, depletion of erythroid progenitors did not correlate with AML engraftment (Fig. 4, F to I). Similar to other HSPCs, we found no evidence that increased apoptosis/cell death or peripheral displacement from the BM niche contributed to erythroid progenitor depletion beyond the proerythroblast stage (fig. S12). These results suggest that AML blasts may cause anemia by imparting a differentiation block on proerythroblasts.

Human AML suppresses normal hematopoiesis via a paracrine factor(s)

To examine the possibility that AML can suppress normal hematopoiesis through the paracrine effects, we conducted mouse progenitor colony-forming assays in methylcellulose supplemented with conditioned medium (CM) from in vitro-cultured flowpurified primary AML blasts (AML-CM) and CB-CD34⁺ cells (CB-CD34⁺-CM) (Fig. 5A and figs. S13 and S14). Primary AML blasts exhibited viability >85% in vitro (fig. S15A), which was improved by flow sorting on PI⁻AnnexinV⁻CD33⁺CD45^{low} CD3⁻ blasts (fig. S15B). The numbers of mouse burst-forming unit erythroid (BFU-E) and colony-forming unit (CFU)granulocyte erythroid monocyte megakaryocyte (GEMM) were decreased in the presence of AML-CM relative to CB-CD34⁺-CM (Fig. 5, B and C, and table S4). Similar experiments were conducted with human CB-CD34⁺ cells and demonstrated that AML-CM suppressed human BFU-E (Fig. 5, D and E, and table S5). AML-CM from some samples (SU266 and SU353) inhibited the generation of human CD34⁺ colonies of any

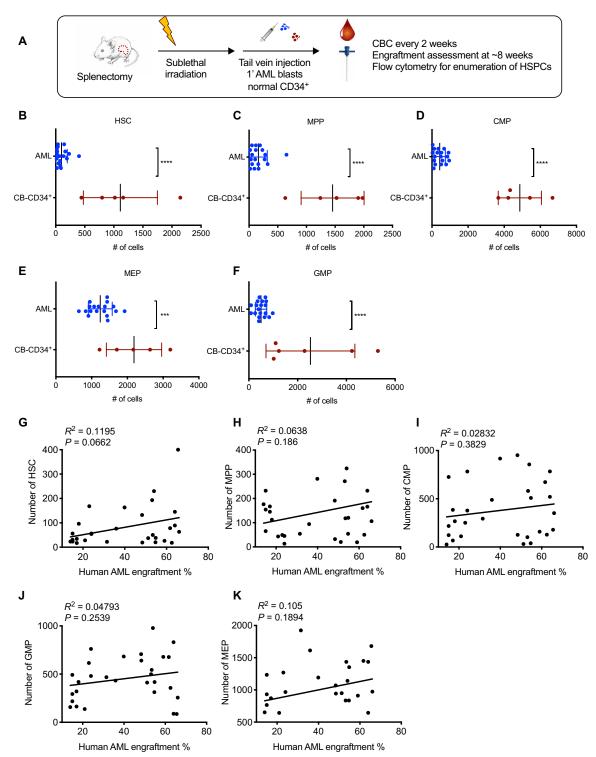


Fig. 3. Normal mouse HSPCs are depleted in the presence of human AML. (A) Schematic of experimental procedure. Absolute numbers of **(B)** HSC (AML 99.9 \pm 23.3 versus CB 1112 \pm 285; *P* < 0.0001), **(C)** MPP (AML 163 \pm 36.3 versus CB 1456 \pm 245; *P* < 0.0001), **(D)** CMP (AML 427 \pm 74.1 versus CB 4864 \pm 534; *P* < 0.0001), **(E)** MEP (AML 1241 \pm 78.8 versus CB 2186 \pm 346; *P* = 0.0005), and **(F)** GMP (AML 498 \pm 53.7 versus CB 2525 \pm 744; *P* < 0.0001) in NSG^{spln}-PDX [*n* = 25, engrafted with the same AML samples as in Fig. 2 (D and E), absolute numbers represent total numbers per mouse] and NSG^{spln}-CB-CD34⁺ [*n* = 5, engrafted with the same CB samples as in Fig. 2 (D and F)] mice 8 weeks after transplantation. Pearson's correlation between absolute numbers of **(G)** HSCs, **(H)** MPP, **(I)** CMP, **(J)** GMP, and **(K)** MEPs and BM disease burden as indicated by percent AML engraftment in the BM. ****P* < 0.0001.

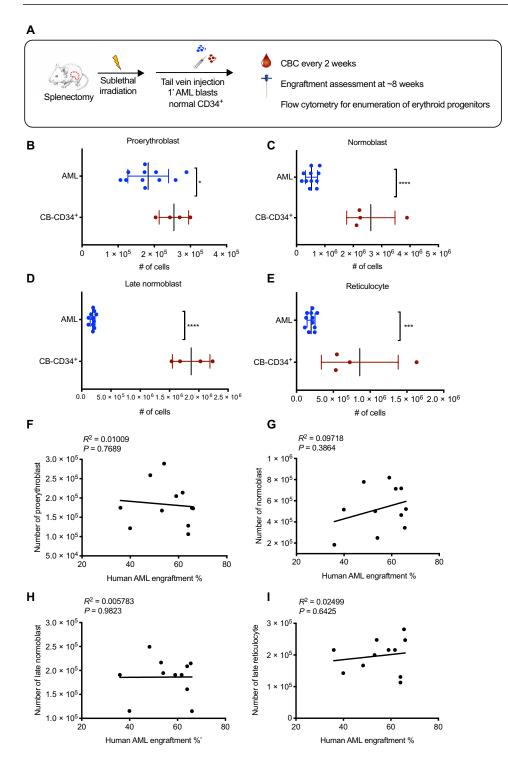


Fig. 4. Human AML blasts impart an erythroid differentiation blockade in vivo. (**A**) Schematic of experimental procedure. Absolute numbers of mouse (**B**) proerythroblasts (AML $1.8 \times 10^5 \pm 1.7 \times 10^4$ versus CB $2.5 \times 10^5 \pm 2.0 \times 10^4$; P = 0.034), (**C**) normoblasts (AML $5.4 \times 10^5 \pm 6.4 \times 10^4$ versus CB $2.6 \times 10^6 \pm 4.3 \times 10^5$; P < 0.0001), (**D**) late normoblasts (AML $1.86 \times 10^5 \pm 1.3 \times 10^4$ versus CB $1.9 \times 10^6 \pm 1.6 \times 10^5$; P < 0.0001), and (**E**) reticulocytes (AML $2.0 \times 10^5 \pm 1.6 \times 10^4$ versus CB $8.6 \times 10^5 \pm 2.6 \times 10^5$; P = 0.0007) in the BM of NSG^{spln-}-PDX [engrafted with SU540 (n = 6) and SU575 (n = 5)] compared with NSG^{spln-}-CB-CD34⁺ mice (n = 4). Absolute numbers represent total numbers per mouse. Pearson's correlation between absolute numbers of (**F**) proerythroblasts, (**G**) normoblasts, (**H**) late normoblasts, and (**I**) reticulocytes and human AML BM engraftment. All R^2 , Pearson correlation determination. *P < 0.05, ***P < 0.001, ****P < 0.0001.

type. AML-CM increased the number of human CFU-G and CFU-M, but not mouse CFU-G or CFU-M (Fig. 5, D and E, and tables S3 and S4).

Next, we used a coculture system to further study the effects of AML blasts on erythroid differentiation by culturing CB-CD34⁺ cells (top) and human AML blasts (bottom) across a semipermeable Transwell membrane in the presence of established erythroid differentiation factors IL-3, stem cell factor (SCF), and erythropoietin (EPO) (see Fig. 5F) (22, 23). Erythroid differentiation was assessed over 3 weeks using well-established markers CD71 and CD235a/glycophorin A (GPA) to define proerythroblasts, normoblasts, and reticulocytes (24). The presence of AML blasts decreased the percentage and absolute number of normoblasts in our erythroid differentiation culture (Fig. 5, F and G, and fig. S16A). To investigate the possibility that AML blasts inhibited differentiation of erythroid progenitors by modulating cell cycle status or by causing increased cell death, we determined 5-ethynyl-2'-deoxyuridine (EDU) uptake and cell viability. A similar percentage of CB-CD34⁺ cells exhibited EDU uptake in control and AML cocultures (fig. S16B). In addition, no consistent differences in CB-CD34⁺ cell death were observed between control and AML cocultures (fig. S16C). Thus, human AML blasts elaborate a secreted factor(s) that suppresses erythroid differentiation of normal mouse and human progenitors.

IL-6 is differentially up-regulated and secreted by AML blasts

To identify AML-elaborated paracrine factors that can suppress erythroid differentiation, we performed RNA sequencing (RNA-seq) on flow-purified blasts from primary patient samples (n = 7) and flow-purified CB-CD34⁺ cells (n = 4) after a 72-hour culture. Differentially up-regulated genes in AML blasts compared with CB-CD34⁺ cells were enriched for signatures involved in defense/immune responses, inflammatory mediators, and cytokine signaling and response pathways (Fig. 6A and fig. S17, A and B) and included 52 genes involved in cytokine signaling upregulated by at least eightfold (P <0.00005) in AML blasts (Fig. 6B). In parallel, we conducted Luminex multiplex protein assays to identify secreted

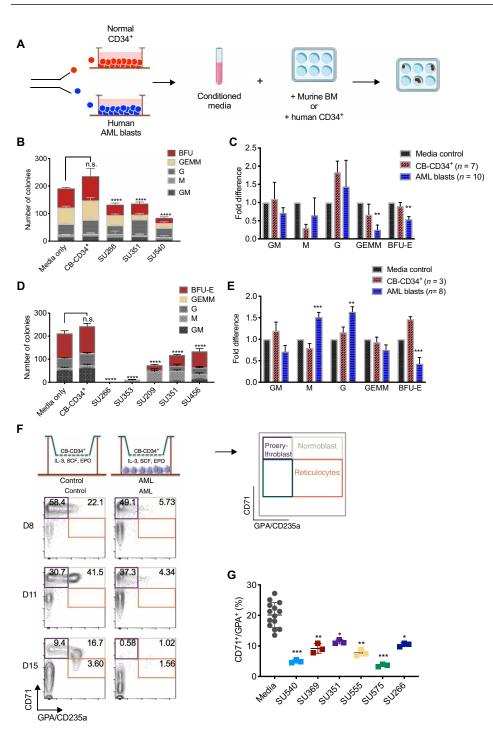


Fig. 5. Human AML suppresses normal hematopoiesis via a paracrine factor(s). (**A**) Schematic of experimental procedure. (**B**) Total number and type of colonies formed by mouse BM cells in the presence of CM from CB-CD34⁺ cells or human AML. P < 0.0001. (**C**) Fold change in the number of each colony type generated by normal mouse BM cells in the presence of CM from cultures of purified CB-CD34⁺ (n = 7) or human AML (n = 10). For each sample, the number of colonies was normalized to control media. P < 0.01. (**D**) Total number and type of colonies formed by human CB-CD34⁺ cells in the presence of CM from CB-CD34⁺ cells or human AML. P < 0.0001. (**E**) Fold changes in the number of each colony type generated by normal CB-CD34⁺ cells or human AML. P < 0.0001. (**E**) Fold changes in the number of each colony type generated by normal CB-CD34⁺ cells in the presence of CM generated from purified CB-CD34⁺ (n = 3) or human AML (n = 8). P < 0.001. (**F**) Schematic of experimental procedure. At the indicated time points, the top compartment was analyzed for CD71/GPA subpopulations as indicated. Representative CD71/GPA flow cytometry plots at D8, D11, and D15 are shown with percentages of each population indicated from control and AML cultures. (**G**) Percentage of CD71⁺/GPA⁺ normoblasts present on day 6 of the Transwell assay in the absence (n = 4 with technical triplicates) or presence (n = 6 with technical triplicates) of purified AML blasts. *P < 0.05, **P < 0.01, ***P < 0.001, ***P < 0.0001.

proteins from primary AML samples used previously. These assays identified 12 factors produced in increased amounts (>2-fold) by flow-sorted AML blasts (Fig. 6C), and 7 factors that were increased (>2-fold) in the BM of NSG^{spln-}-PDX mice engrafted with SU540 and SU555 compared with NSG^{spln-}CB-CD34⁺ mice (Fig. 6D). Intersecting the results of all three assays identified IL-6 as a secreted factor up-regulated in human primary AML blasts both in vitro and in vivo (Fig. 6, E and F).

Human AML suppresses erythroid differentiation and causes anemia via paracrine effects of IL-6

To investigate the role of IL-6 in AMLmediated erythroid differentiation block, we used a neutralizing antibody specific for human IL-6 (hIL-6) to block its activity in our in vitro assays (Fig. 7). AML-CM again decreased the number of BFU-E colonies generated by normal CB-CD34⁺ progenitors (Fig. 7A). However, this effect was completely reversed in the presence of the neutralizing hIL-6 antibody. Furthermore, addition of the same antibody to our erythroid differentiation coculture assay partially reversed the differentiation block of normal CB-CD34⁺ progenitors from the proerythroblast to normoblast stage (Fig. 7B). In contrast, the addition of recombinant hIL-6 to normal CB-CD34⁺ erythroid differentiation cultures decreased the absolute number of normoblasts (fig. S18).

Siltuximab is a U.S. Food and Drug Administration-approved IL-6-neutralizing antibody treatment for idiopathic multicentric Castleman's disease (MCD) (25). We used siltuximab in NSG^{spln-}-PDX mice to investigate the role of human AML-secreted IL-6 in AML-induced BM failure. Siltuximab or control antibody (20 mg/kg) was administered to NSG^{spln-}-PDX mice transplanted with four primary human AML samples (SU540, SU575, SU555, and SU351) via intraperitoneal injection beginning on day 3 after transplantation (Fig. 7C). CBCs were obtained every 2 weeks to determine the degree of anemia, and disease burden was assessed at 6 to 8 weeks. Notably, siltuximab treatment increased hemoglobin concentrations as early as 2 weeks into treatment in NSG^{spln-}-PDX mice under conditions of similar BM engraftment (Fig. 7, D to G, and fig. S19, A to D). Siltuximab treatment increased overall

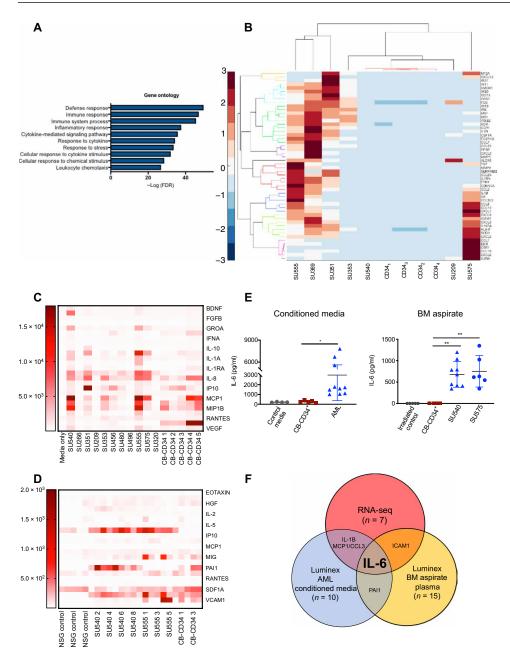


Fig. 6. IL-6 is differentially up-regulated and secreted by AML blasts. (**A**) Gene ontology analysis of differentially up-regulated transcripts in primary AML blasts (n = 7) compared with normal human CB-CD34⁺ cells (n = 4), ranked by *P* value. (**B**) Heat map illustrating the expression pattern of genes up-regulated (\ge 8-fold, *P* < 0.0005) in primary AML compared with CB-CD34⁺ cells associated with cytokines and/or cytokine signaling. (**C**) Heat map summary of Luminex data showing expression of up-regulated factors secreted by purified AML blasts in culture (n = 10) compared with control media (n = 3) and purified normal human CB-CD34⁺ cells (n = 5). (**D**) Heat map summary of Luminex data showing up-regulated secreted factors in the BM plasma of NSG^{spln}-PDX mice engrafted with SU540 (n = 9) and SU555 (n = 6) compared with irradiated-only NSG^{spln}-mice (n = 5) or NSG^{spln}-CB-CD34⁺ mice (n = 4). (**E**) Concentrations of IL-6 produced in individual experimental replicates reported in (C) and (D), P < 0.001. (**F**) Schematic showing identification of IL-6 as a secreted factor up-regulated by human AML blasts in all three platforms of evaluation. *P < 0.05, **P < 0.01

survival (Fig. 7, H to K). This was also associated with an increase in absolute numbers of normoblasts in siltuximab-treated NSG^{spln-}-PDX mice (Fig. 7, L and M).

We investigated the possibility that the IL-6 blockade decreased disease burden but found no differences in the percentages of AML

using conventional AML xenograft models in which differentiation arrest of HSCs and subsequent progenitor depletion were found only late in the disease course, when engraftment exceeded 70% (27). In contrast, low amounts of AML burden (<40%) did not cause critical depletion of mouse progenitors in the BM (27). High burdens of

Last, given that IL-6 has a well-described role in anemia of inflammation/anemia of chronic disease through up-regulation of hepcidin (26), we measured serum hepcidin in NSG^{spln-}-AML and NSG^{spln-}-CB-CD34⁺ mice and found no differences in serum hepcidin concentrations (Fig. 7O). Because NSG^{spln-}-CB-CD34⁺ mice did not develop anemia, it is unlikely that AMLderived IL-6 causes anemia via hepcidin effects. We did not find IL-1 β or CCL3 to be consistently produced by human AML blasts in culture or in vivo in NSG-PDX mice as previously reported (fig. S22) (11).

DISCUSSION

Marked morbidity and mortality in AML result from the clinical syndrome of BM failure, which has historically been presumed to be related to overcrowding of BM space. Here, we challenge this traditional view by (i) showing clinical evidence that there is a lack of correlation between AML BM blast burden and the degree of cytopenia in patients with AML at the time of diagnosis; (ii) establishing a splenectomized NSG xenograft model demonstrating that AML, but not CB, engraftment induces BM failure in vivo; (iii) demonstrating that AML blasts can block erythroid differentiation at the proerythroblast stage by elaboration of IL-6; and (iv) providing evidence that blocking antihuman IL-6 antibodies can reverse these effects in vitro and improve BM failure and overall survival in vivo.

The pathologic diagnosis of AML

requires greater than 20% BM blasts,

and patients are observed along the

entire spectrum from 20 to 100% (2). In

patients with very high burdens of dis-

ease (>70%), it is likely that there are

additional mechanisms of BM failure

compared with those with low burden. This possibility was suggested by studies

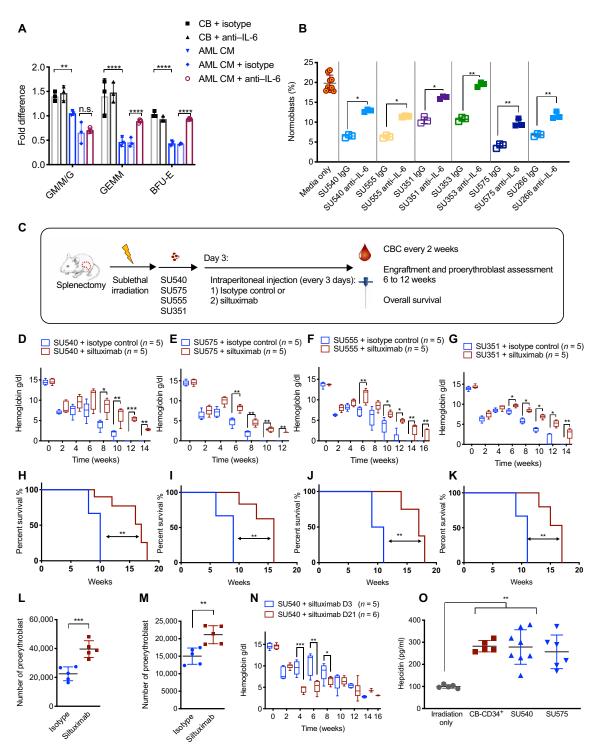


Fig. 7. Human AML suppresses erythroid differentiation and causes anemia via paracrine effects of IL-6. (**A**) Fold change in the number of colonies generated by normal human CB-CD34⁺ cells in the presence of CB-CD34⁺-CM (n = 3) or human AML-CM (n = 3) \pm human IL-6 neutralizing antibody or an isotype control antibody. The number of colonies was normalized to either CB isotype control or AML-CM isotype controls as indicated by brackets in the figure. (**B**) Transwell assay for erythroid differentiation was performed in the presence or absence of a human IL-6 neutralizing antibody or an isotype control antibody. Aliquot of cells from the top compartment was analyzed for the percentage of CD71⁺GPA⁺ normoblasts on day 6. (**C**) Schematic of experimental procedure. Treatment with control antibody or siltuximab (anti-human IL-6; 20 mg/kg) began on day 3 and occurred every 3 days. Hemoglobin in NSG^{spln} mice engrafted with primary AML (**D**) SU540, (**E**) SU575, (**F**) SU555, and (**G**) SU351. Overall survival in NSG^{spln}-PDX mice engrafted with (**H**) SU540 10 weeks versus 17 weeks, P = 0.02; (**I**) SU575 9 weeks versus 16 weeks, P = 0.002; (**J**) SU555 10 weeks versus 17 weeks, P = 0.0014; and (**K**) SU351 11 weeks versus 16 weeks, P = 0.0004. Absolute numbers of CD71^{+/}GPA⁺ normoblasts in NSG^{spln}-PDX mice engrafted with (**L**) SU555 2.25 × 10⁴ versus 3.96 × 10⁴, P = 0.0009, and (**M**) SU351 1.50 × 10⁴ versus 2.11 × 10⁴, P = 0.004. (**N**) Hemoglobin in NSG^{spln}-SU540 mice, which began treatment on d3 versus d21. (**O**) Serum hepcidin concentrations in control, NSG^{spln}-PDX engrafted with SU540 or SU575, and NSG^{spln}-CB-CD34⁺ mice (n = 5 for each group, P = 0.001). *P < 0.05; **P < 0.001.

disease can also affect the BM microenvironment, and destruction of endosteal endothelium was demonstrated with high disease burden using an AML xenograft model (10). We found local production of the inflammatory cytokines TNF α and CXCL2 and hypothesized that this contributed to endothelial BM niche dysfunction. These studies suggest that distinct mechanisms are involved in BM failure associated with a high burden of disease, whereas our results demonstrate that profound depletion of mouse progenitors associated with severe anemia and early death occurred at low to moderate amounts (20 to 40%) of disease in splenectomized AML xenografts, consistent with a separate, paracrine-mediated mechanism resulting in BM failure.

Our transcriptome and protein array results illustrate a common inflammatory response in primary AML blasts, and inflammatory mediators have recently been implicated in leukemia-mediated niche dysregulation (28). Several studies have examined the role of cytokines and niche factors in AML-induced BM failure (10, 29, 30). In one study, MPL^{high} AML blasts exhibited the ability to scavenge TPO, causing HSPC exhaustion (9). Inflammatory cytokine production has also been implicated in AML-induced BM failure (11, 12, 30). Using inhibitors of IL-1ß signaling, we showed normalization of IL-1 β -mediated growth suppression of normal CD34⁺ cells. In a separate study, increased circulating concentrations of the chemokine CCL3 were found in newly diagnosed patients with AML and the plasma of a mouse model of MLL-AF9 AML (13). In this mouse model, there was reduced proliferation of megakaryocytic erythroid progenitor (MEPs) in vivo and decreased BFU-E formation in the presence of exogenous CCL3 or leukemic BM plasma, which was reversed by CCL3 blockade. This may be because of differences in methodologies and does not rule out a role for these cytokines/chemokines in disruption of normal hematopoiesis by AML blasts (13).

One potential limitation of our studies is the differential crossspecies effects of paracrine factors and their cognate receptors (*31*, *32*). In our in vitro assays, for example, AML-CM increased the number of human CFU-G, but its effects on mouse CFU-G were more variable. A similar situation could affect our ability to detect the effects of human AML-elaborated paracrine factors on mouse HSPCs and niche supporting cells through their cognate receptor. Another limitation of the xenograft model is the use of irradiation for priming before transplantation of human AML cells, which can affect mouse HSPC function in addition to effects exerted by human AML. However, we controlled for these effects by comparing NSG^{spln-} mice engrafted with human AML to those engrafted with CB-CD34⁺ cells.

AML-produced IL-6 has been reported and hypothesized to be an autocrine growth factor (12, 33). High-circulating IL-6 concentrations portend a worse prognosis, associated with decreased eventfree survival and overall survival in adult and pediatric patients with AML (34), and IL-6 drives progression of chronic myelogenous leukemia, multiple myeloma, and MCD (35–37). We show evidence that siltuximab reverses AML-induced erythroid differentiation blockade and improves the survival of human AML xenografts. Whether siltuximab can decrease transfusion dependence in patients with AML and improve their quality of life should be explored in a safety and feasibility study.

MATERIALS AND METHODS

Study design

The overall objective of our studies was to identify secreted factors from human AML blasts that inhibited the growth and/or function

of HSPCs. We used a set of 10 human primary AML samples to screen for paracrine factors that are made in substantial amounts in vitro and cause BM failure and cytopenias in xenograft mice. We used colony-forming assays and erythroid differentiation cultures to identify progenitor dysfunction in vitro. We used at least three biological replicates for every assay, and each experiment was performed at least three times using technical triplicates wherever applicable. Each mouse experiment included at least five mice in each group. All data points collected were included in the analysis of the experiments, and no outliers were excluded. No power analysis was performed for the experiments. Randomization and blinding were not applicable to our studies.

Animal care

All mouse experiments were conducted in accordance with a protocol approved by the Institutional Animal Care and Use Committee (Stanford Administrative Panel on Laboratory Animal Care no. 22264) and in adherence with the U.S. National Institutes of Health's *Guide for the Care and Use of Laboratory Animals*.

Primary human samples

AML and cord blood samples were obtained according to the Administrative Panel on Human Subjects Research Institutional Review Board (IRB)–approved protocols (Stanford IRB no. 6453) with informed consent. Cord blood was collected with written informed consent from the mothers at delivery at the Lucile Packard Children's Hospital (Stanford IRB no. 33818) or purchased from the New York Blood Center.

Public data analysis

Using publicly available data from Papaemmanuil and colleagues (*16*), we performed linear regression between the PB or BM blast percentages and PB counts for 1376 adult (18 years or older) patients with AML. For estimation of AML disease burden using VAF of preleukemic mutations (ASXL1, IDH1, IDH2, and DNMT3A) and somatic mutations (TET2, NPM1, FLT3-ITD, RUNX1, and TP53) in AML, we performed linear regression using VAF and PB counts. Statistical analysis was performed using Pearson's correlation coefficient and corresponding *P* values.

Cell culture

We used multiparametric flow cytometry to identify and sort AML blasts (CD45^{low} CD33^{mid}CD19⁻CD3⁻) and normal CB-CD34⁺ (CD34⁺CD3⁻CD19⁻). Post–sort analysis demonstrated >98% purity. Sorted cells were cultured in StemSpan (STEMCELL Technologies) supplemented with human recombinant TPO, SCF, and Flt3-L (all 20 ng/ml; PeproTech) at a density of 5×10^5 /ml for 72 hours, and supernatant was collected to create a cell-free conditioned medium.

Complete blood count

Blood was obtained by collection of 20 µl of blood from the tail vein using an EDTA-coated capillary tube and analyzed by the HemaTrue Veterinary Hematology Analyzer (Heska).

Histological studies

Spleens were removed and immersed in 4% paraformaldehyde for fixation and kept at 4°C overnight. Femurs were explanted and fixed with paraformaldehyde before decalcification using DECAL (American MasterTech) according to the manufacturer's protocol. Samples were processed, embedded in paraffin, and sectioned at 4 μ m. Immunohistochemistry (IHC) was performed on a Bond Rx autostainer (Leica Biosystems) with enzyme treatment (1:1000) using standard protocols. Bond Polymer Refine Detection (Leica Biosystems) was used according to the manufacturer's protocol. After staining, sections were dehydrated and film coverslipped using a Tissue-Tek Prisma and Coverslipper (Sakura). Whole-slide scanning (40×) was performed on an Aperio AT2 (Leica Biosystems). For histological evaluation of additional organs in xenografted mice, the heart, liver, lung, and kidneys were explanted, fixed with paraformaldehyde, and embedded in paraffin. Sections (10 μ m) were placed on glass slides, and IHC was performed using anti-human CD45 antibody (D9M8I) and polymer horseradish peroxidase secondary antibody at 1:1000 (Vector Labs).

Progenitor enumeration

The tibia, femur, pelvis, and spine of each animal were isolated to generate a mononuclear cell suspension in 2 mM EDTA/phosphatebuffered saline (PBS), which was stained using human CD45, mouse CD45, and mouse lineage markers (CD3, CD4, CD5, CD7, CD19, CD20, CD14, CD11b, CD11c, NK1.1, B220, Sca-1, c-Kit, CD41, CD34, CD150, CD48, FcRγ, and annexin V). Cells were stained in the dark for 30 min at 4°C, washed, resuspended in propidium iodide (PI)– containing buffer (2% fetal bovine serum in PBS), and analyzed using a BD FACSAria II. Mouse HSPCs were identified by the following gates: HSC Lin⁻Sca-1⁺c-Kit⁺CD41⁻CD34⁻CD150^{-/+}CD48⁺, cytidine monophosphate (CMP) Lin⁻Sca-1⁻c-Kit⁺CD34⁺CD32/16⁻, guanosine monophosphate (GMP) Lin⁻Sca-1⁻c-Kit⁺CD34⁺CD32/16^{high}, and MEP Lin⁻Sca-1⁻c-Kit⁺CD34⁻CD32/16^{-/how}.

Surgical splenectomy

NSG mice at 8 to 10 weeks of age were sedated using halothane. During sedation, an incision was made along the left costal margin through the peritoneal membrane. The spleen was visualized and isolated using forceps. The splenic artery and vein were cauterized using electric cautery, and the spleen was removed. A single suture was used to close the peritoneal membrane, and a surgical clip was placed to juxtapose the edges of the incision site. Sham operation included all steps as described with the exception of cautery and spleen removal. Animals were monitored daily, and surgical clips were removed at 7 days.

NSG xenotransplantation

Sex- and age-matched (8 to 10 weeks) NSG mice were conditioned with a sublethal dose of irradiation (200 rad) 12 to 24 hours before transplantation (Faxitron, X-ray irradiation). Irradiation-conditioned NSG mice were transplanted with either primary AML blasts (10^6) or normal CD34⁺ HSPCs (10^5) in 100 µl of PBS via tail vein injection. For AML, freshly thawed primary AML samples were subjected to T cell depletion using anti-CD3 magnetic beads (RoboSep, STEMCELL Technologies).

Assessment of human engraftment

Engraftment was evaluated by BM aspirates that were taken from the distal end of the right or left femur using a 29-gauge needle under sedation. To remove RBCs, ammonium chloride potassium (ACK) lysis was performed (RBC lysis buffer, eBioscience). After RBC lysis, cells were blocked (anti-mouse FcR antibody 1 μ g/10⁶ cells, 15 min, room temperature) and stained (30 min, 4°C, in the dark) with fluorochrome-conjugated monoclonal antibodies with specificity for human CD45-V450 (dilution 1:25; clone H130), CD19–allophycocyanin (APC) (dilution 1:25; clone HIB19), CD3-APC-Cy7 (dilution 1:50; clone SK7), CD33–phycoerythrin (PE) (dilution 1:25; clone WM53), and mouse CD45.1-PE-Cy5 (dilution 1:100; clone 30-F11). Unilineage engraftment by human cells that were CD45⁺CD33⁺CD19⁻CD20⁻CD3⁻ was considered successful engraftment by human AML. Bilineage engraftment by human cells that were CD45⁺CD33⁺CD19⁻CD3⁻ or CD45⁺CD33⁻CD19⁺CD3⁻ was considered successful engraftment by human normal CD34⁺ HSPCs.

Colony-forming assay

Both mouse and human colony-forming assays were performed as described (38) and modified with the addition of CM from primary AML blasts or CB-CD34⁺ cells. CB-CD34⁺ (2500 to 5000) or 10,000 bulk mouse BM cells were added to 4 ml of MethoCult H4434 (human, STEMCELL Technologies) or MethoCult M3434 (murine, STEMCELL Technologies) containing 300 μ l of CM plated in triplicate in a SmartDish (STEMCELL Technologies). Plates were incubated at 37°C and 5% CO₂. The number of colonies in each sample was scored between 10 and 14 days by morphologic assessment to determine the number of BFU-E, CFU-GEMM, CFU-M, CFU-G, and CFU-GM colonies. For IL-6 neutralization experiments, antibody specific for human IL-6 (1 μ g/ml; Thermo Fisher Scientific) or an isotype control antibody (1 μ g/ml; Thermo Fisher Scientific) was added to the methylcellulose along with CM and mixed before addition of cells.

Erythroid differentiation assay

Erythroid differentiation cocultures were carried out using a 24-well plate and semipermeable Transwells (0.4 µM pores, Sigma-Aldrich). Flow-purified AML blasts were plated $(5 \times 10^{5}/\text{ml})$ on the bottom of the Transwell where indicated and cultured for 72 hours in StemSpan supplemented with Erythroid Expansion Supplement (STEMCELL Technologies). After 72 hours, normal CB-CD34⁺ cells (10⁴) were added to the top to allow for erythroid differentiation. A 50-µl aliquot was removed from the top of the Transwell at various time points to quantitate erythroid progenitors immunophenotypically using CD71 and CD235a/GPA. For IL-6-blocking experiments, neutralizing antibodies for human IL-6 and an isotype control antibody (both 1 µg/ml; Thermo Fisher Scientific) were added at the beginning of the culture and replaced every 72 hours during medium change. For cell cycle analysis, EDU was added to erythroid differentiation cultures for 12 hours for incorporation during S/G₂ phase of the cell cycle. EDU stain was performed according to the manufacturer's instructions (Click-iT EdU Cell Proliferation Kit, Thermo Fisher Scientific) and analyzed by flow cytometry. EDU-positive cells indicated S/G₂ phase of the cell consistent with actively proliferating cells. PI was used to distinguish live/dead cells.

RNA sequencing

RNA was isolated using the RNeasy Mini Kit (Qiagen) and sequenced and analyzed by Girihlet. RNA with RNA integrity number (RIN) 9 was reverse transcribed to complementary DNA (cDNA), and libraries were prepared using 500 ng of total RNA with the TruSeq RNA Sample Preparation Kit v2 (Illumina). mRNA-seq and data analysis cDNA libraries were sequenced on the Illumina NextSeq platform to obtain 80–base pair single-end reads. The reads were trimmed, compressed, and mapped to the human genome. To compare the expression in different samples, quantile normalization was used. The ratios of expression were then calculated to estimate the log (to base 2) of the fold change. A regularizer (5) was added to each value, ensuring that genes with expression around or below five would appear to have low fold change as has been described (39). Data were analyzed using MATLAB 2018a. Differentially expressed genes were determined using a negative binomial model as described previously (38, 40) with the Benjamini-Hochberg adjustment for P values (41). P value threshold was set to 0.00005, and differentially expressed genes were considered at eightfold change. Gene ontology analysis was performed using the PANTHER Gene Ontology Consortium tool (42, 43).

Multiplex protein array

CM from human AML and BM aspirates from NSG^{spln-}-PDX mice were analyzed by the Stanford Human Immune Monitoring Core using a 62-plex Luminex-based custom assay designed to include 62 human secreted proteins by eBioscience (please see http://iti.stanford. edu/himc/immunoassays.html for a complete list of secreted proteins included in the custom capture panel).

In vivo IL-6 neutralization with siltuximab

Groups of NSG mice underwent surgical splenectomy as described. After recovery, splenectomized NSG mice were sublethally irradiated (200 rads) and transplanted with 2×10^6 primary human AML blasts via tail vein injection within 24 hours of receiving conditioning irradiation. CBC was obtained at 2-week intervals as described above. At 6 weeks after transplantation, femoral aspirates were performed under sedation to evaluate engraftment. Mice were followed and euthanized when hemoglobin reached 2.5 mg/dl or below. Siltuximab treatment was administered starting on day 3 or day 21 via intraperitoneal injection at 20 mg/kg every 3 days, which has been shown to effectively neutralize IL-6 function in solid tumor xenograft models (44). Control mice engrafted with AML were treated with control immunoglobulin G (IgG; 20 mg/kg).

Statistics

GraphPad Prism 8 was used to perform all statistical analyses with the exception of analysis of large public datasets. Error bars represent SEM. The value of alpha (significance level) was set at 0.05. An unpaired (two-tailed) *t* test was used to define statistical significance when two groups were compared. One-way analysis of variance (ANOVA) was used to calculate statistical differences when more than two groups were compared. Figure legends note statistical parameters for each experiment. **P* < 0.05, ***P* < 0.01, ****P* < 0.001, and *****P* < 0.0001.

SUPPLEMENTARY MATERIALS

stm.sciencemag.org/cgi/content/full/12/538/eaax5104/DC1

- Fig. S1. PB leukemic burden does not correlate with cytopenias or BM leukemic burden. Fig. S2. Leukemic burden estimated by variant allelic frequency does not correlate with severity of cytopenias.
- Fig. S3. Representative markers and gating strategy to determine human AML engraftment in NSG mice.
- Fig. S4. Conventional NSG AML xenografts do not develop BM failure evidenced by lack of cytopenias.
- Fig. S5. Representative markers and gating strategy to determine human normal CB-CD34⁺ cell engraftment in NSG mice.
- Fig. S6. CBCs in NSG sham and NSG spin- mice transplanted with primary AML samples or normal CB-CD34 $^+$ cells.
- Fig. S7. Splenectomized NSG mice engrafted with human primary AML exhibit shortened survival.

Fig. S8. Surgical splenectomy does not increase leukemic burden in NSG mice engrafted with human AML.

Fig. S9. Representative flow plots for the evaluation of mouse HSPCs in NSG^{spln-} PDX mice. Fig. S10. Mouse HSPCs in NSG^{spln-}-PDX mice do not exhibit increased apoptosis/cell death or displacement into PB.

Fig. S11. Mouse HSPCs are similarly depleted in NSG^{sham}-PDX mice.

Fig. S12. Mouse proerythroblasts in NSG^{spIn}-PDX mice exhibit similar rates of apoptosis/cell death.

Fig. S13. Representative markers and gating strategy used to sort purified populations of human AML blasts.

Fig. S14. Representative markers and gating strategy used to sort purified populations of human $CB-CD34^+$ cells.

Fig. S15. Viability of human AML in culture.

Fig. S16. Human AML blasts do not block erythroid differentiation by inhibition of cell cycle entry or induction of cell death.

Fig. 517. RNA-seq of purified populations of human AML blasts and CB-CD34⁺ cells shows an inflammatory transcriptome signature in AML.

Fig. S18. Effects of the addition of recombinant human IL-6 on normal CB-CD34⁺ progenitors undergoing erythroid differentiation.

Fig. S19. Siltuximab treatment does not decrease leukemic burden in NSG^{spin-} mice engrafted with human AML.

Fig. S20. Siltuximab treatment does not decrease leukemic burden in various organs of NSG^{spIn-} mice engrafted with human AML.

Fig. S21. Siltuximab treatment initiated after establishment of disease also improves anemia and overall survival in human AML xenografts.

Fig. S22. IL-1 β and CCL3 production in NG^{Spln-}-PDX mice and AML conditioned medium. Table S1. Summary of characteristics of patients whose clinical data were analyzed in Fig. 1 (A to D).

Table S2. Summary of characteristics of patients whose clinical data were analyzed in figs. S1 and S2.

Table S3. Patient- and disease-specific characteristics of human AML samples investigated here. Table S4. Human AML conditioned medium suppresses mouse progenitor colony formation. Table S5. Human AML conditioned medium suppresses human progenitor colony formation.

View/request a protocol for this paper from Bio-protocol.

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IL-6 blockade reverses bone marrow failure induced by human acute myeloid leukemia

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Sometimes, crowding is not the problem

Bone marrow failure is a common and potentially deadly consequence of acute myeloid leukemia. Although traditional thinking holds that this bone marrow failure results from overcrowding, increasing evidence shows that it occurs even with low burden of disease that would not fill the bone marrow. Zhang et al. developed a mouse model that mimics the course of human disease and determined that acute myeloid leukemia cells produce a cytokine called interleukin-6, which interferes with red blood cell differentiation. In this mouse model, a blocking antibody targeting this cytokine treated the animals' anemia and improved their survival.

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